

Fluctuation and relaxation in globular clusters

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*spherical gravitostatic
plasmas*

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Globular cluster properties

- Dense, spherical stellar systems (with no central SMBH!)
- Radii ~ a few parsecs
- Consist of $\sim 10^5$ similar stars
- Very old ($\sim 10^{10}$ yr)
- Crossing time $\sim 10^5$ yr
- Relaxation time $\sim 10^{10}$ yr



Q: what physics drives cluster evolution over very long ($> \text{Gyr}$) timescales?

N-body problem

Clusters well approximated as clean N-body systems:
point masses interacting via Newtonian gravity



$$\mathbf{F}_i = - \sum_{j \neq i} \frac{G m_i m_j}{|\mathbf{x}_i - \mathbf{x}_j|^3} (\mathbf{x}_i - \mathbf{x}_j)$$
$$i, j = \{1, \dots, N\}$$

($N \sim 10^5$)

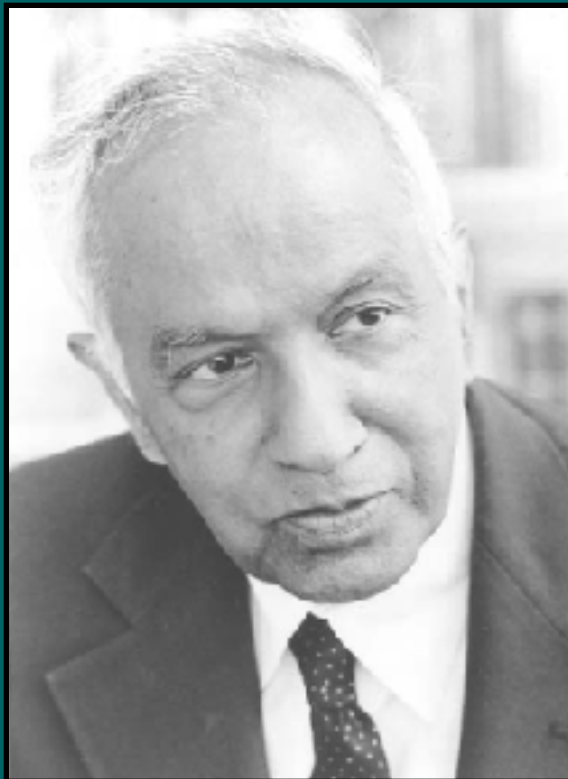
so we could just code up 10^5 equations of motion:
problem solved?

N-body integration

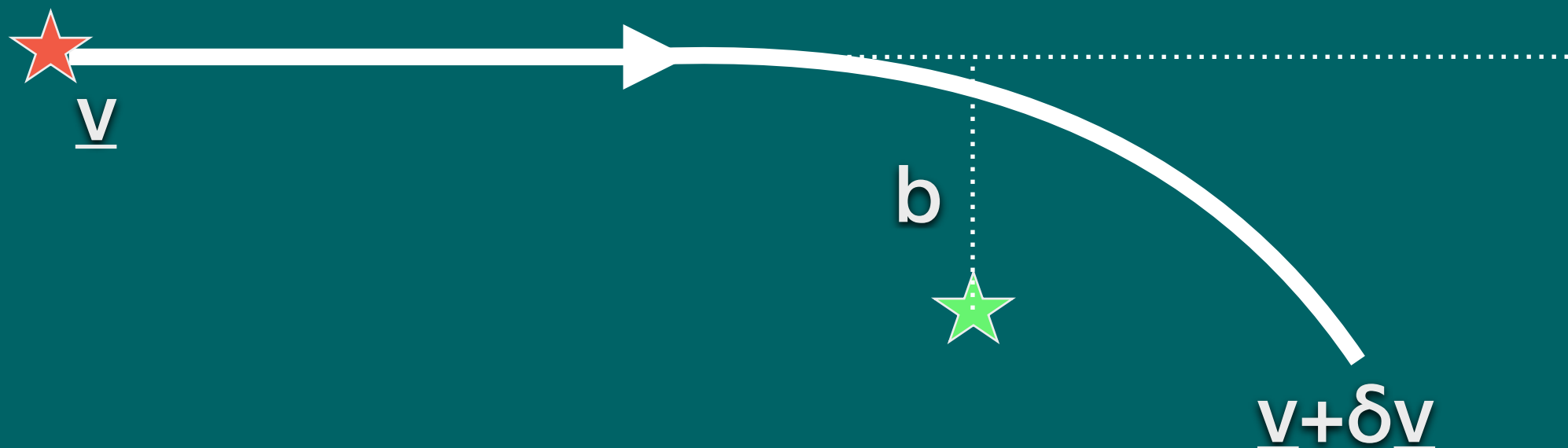
- is very **expensive**: it has only recently become feasible for $N \sim 10^5$.
 - doesn't provide much **physical insight**.
-

Instead, people have mostly relied on Chandrasekhar's theory of **two body relaxation**.

Chandrasekhar's theory of two-body relaxation



- replace cluster with an **infinite, homogeneous, fixed** background field of stars
- a **test star** moves in a straight line except during an encounter with a **field star** with impact parameter b



- By **summing up many independent two-body encounters** we get drift and diffusion coefficients

drift

$$\langle \delta \mathbf{v} \rangle_f = 4\pi G(m + m') \log \Lambda \frac{\partial h}{\partial \mathbf{v}}$$

diffusion:

$$\langle \delta v_i \delta v_j \rangle_f = 4\pi G m' \log \Lambda \frac{\partial^2 g}{\partial v_i \partial v_j}$$

which can be inserted into a homogeneous Fokker-Planck equation.

- Both coefficients involve the **Coulomb logarithm**:

$$\Lambda \equiv \frac{b_{\max}}{b_{\min}}$$

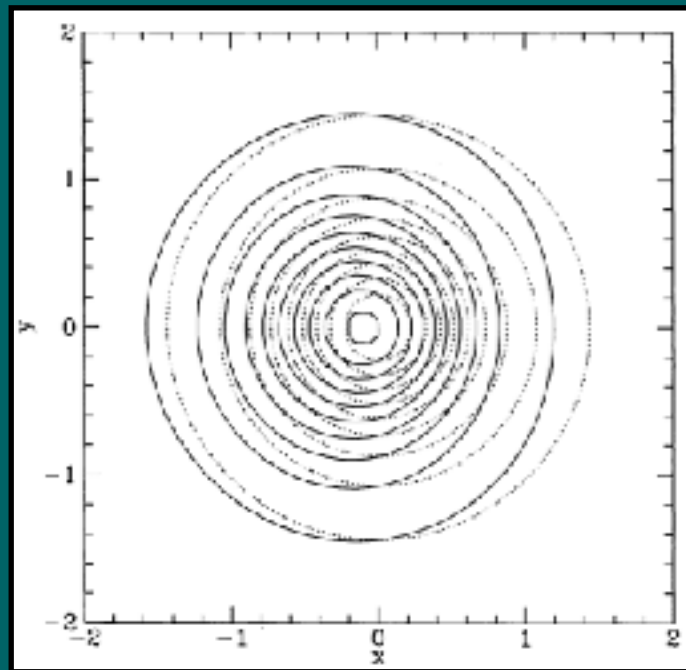
Chandrasekhar's theory has been the **standard picture of cluster evolution** for several decades!

Chandrasekhar's false assumptions

- unlike plasmas, stellar systems are **not homogeneous!** (no Debye screening)
- individual unperturbed orbits are complicated (**not straight lines**)
- Coulomb logarithm $\log \Lambda$ is essentially a **free parameter** $\Lambda \equiv \frac{b_{\max}}{b_{\min}}$
- 'field stars' are not inert: the system should evolve **self-consistently** $\nabla^2 \Phi = 4\pi G \int d^3\mathbf{v} f$
- Chandrasekhar ignores **collective effects** (self-gravitating oscillations of entire system)

Collective effects (self-gravity)

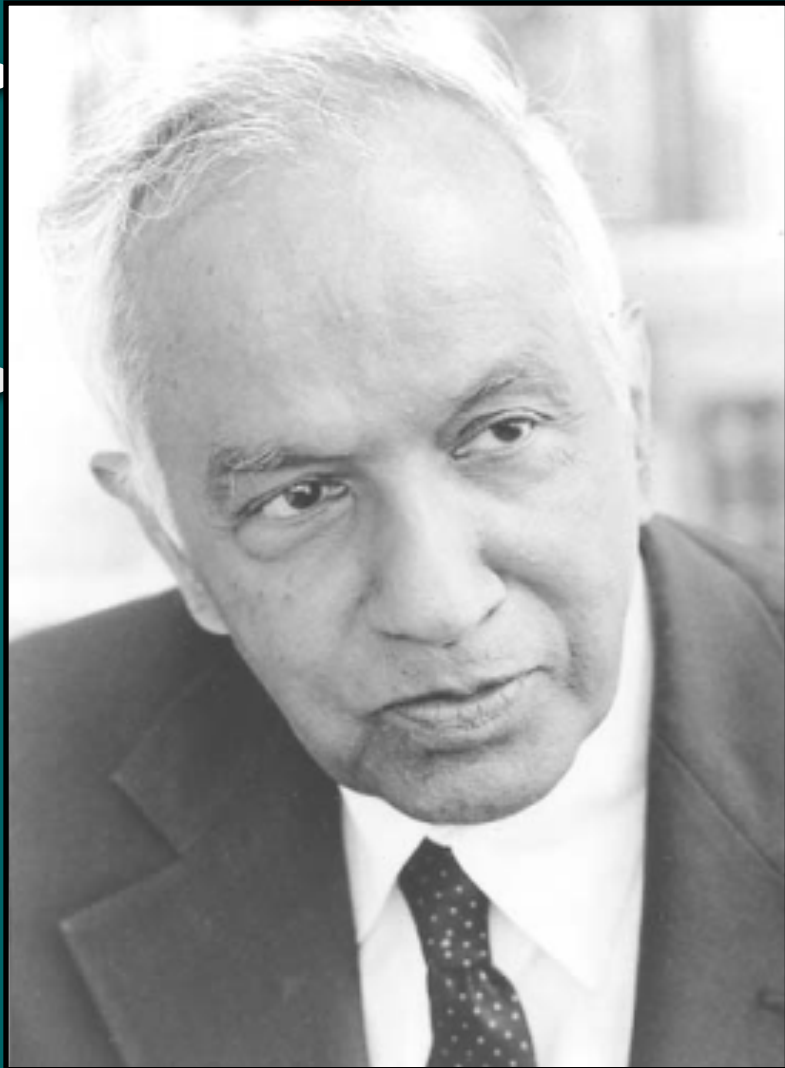
- Electrostatic plasmas have **natural oscillation modes**: their effect is captured by a **dielectric function**
- **stellar systems** also have normal modes: coherent (Vlasov-stable) oscillations of whole cluster



Example of the $\ell = 1$ (dipole) sloshing mode in a globular cluster (Weinberg 1993)

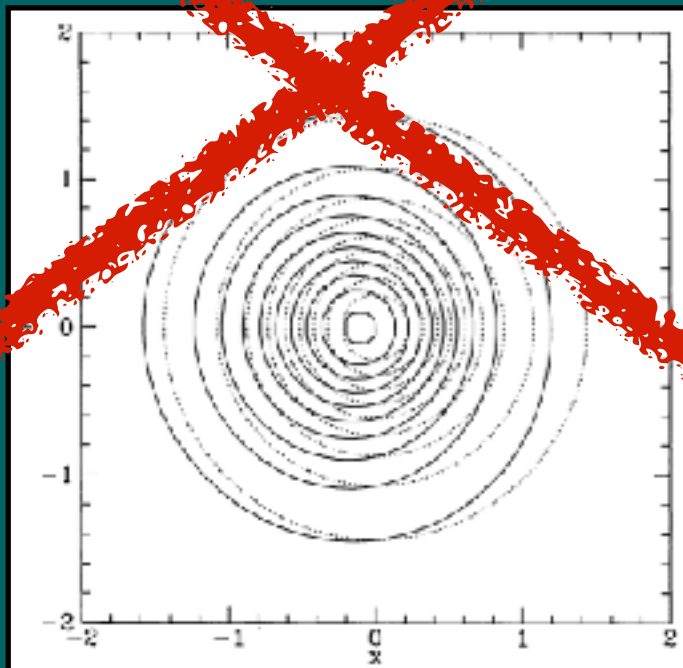
- so a star cluster is a **polarisable medium** and has a “dielectric function” that we can calculate

Collective effects (self-gravity)



• Galaxies have **natural oscillation modes**:
captured by a **dielectric function**

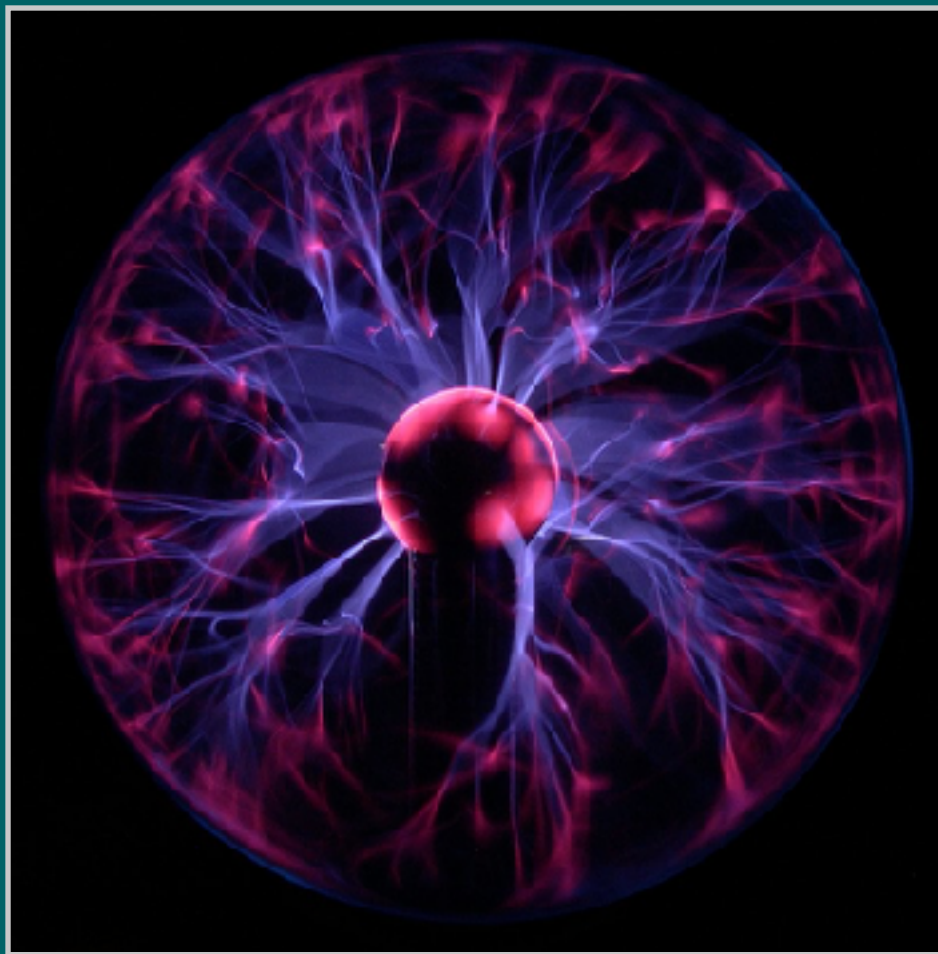
• They also have normal modes: coherent
oscillations of whole cluster



Example of the $\ell=1$ (dipole) mode in a globular cluster (Weinberg 1993)

- so a star cluster is a **polarisable medium** and has a
“dielectric function” that we can calculate

A modern alternative: the Balescu-Lenard equation



Balescu (1960)
Lenard (1960)



Heyvaerts (2010)
Chavanis (2012)

Balescu-Lenard (BL): a self-consistent mean field theory

Boltzmann + Poisson:

$$\frac{\partial f}{\partial t} + [f, H] = 0.$$

$$\nabla^2 \Phi = 4\pi G \int d^3\mathbf{v} f$$

- Solve with mean field + Poisson fluctuations ('noise')
- Use angle-action variables to account for inhomogeneity

$$H(\boldsymbol{\theta}, \mathbf{J}, t) = H_0(\mathbf{J}) + \Phi_1(\boldsymbol{\theta}, \mathbf{J}, t),$$

$$f(\boldsymbol{\theta}, \mathbf{J}, t) = f_0(\mathbf{J}) + f_1(\boldsymbol{\theta}, \mathbf{J}, t).$$

$\mathbf{J} = (\mathbf{J}_r, \mathbf{L}) = (\text{radial action}, \text{orbital angular momentum})$

The **BL equation** provides a **new physical picture** of cluster relaxation, driven by inherent **correlated noise**:

$$\frac{\partial f_0}{\partial t} = \langle [f_1, \Phi_1] \rangle = -\frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{F}$$

correlated self-consistent fluctuations due to \sqrt{N} noise

inhomogeneity

resonant interactions determined by orbital frequencies

$$\mathbf{F} = \sum_{\mathbf{k}\mathbf{k}'} \mathbf{k} \int d\mathbf{J}' \frac{\delta(\mathbf{k} \cdot \boldsymbol{\Omega} - \mathbf{k}' \cdot \boldsymbol{\Omega}')}{|\epsilon_{\mathbf{k}\mathbf{k}'}(\mathbf{J}, \mathbf{J}', \mathbf{k} \cdot \boldsymbol{\Omega})|^2} \left(\mathbf{k} \cdot \frac{\partial}{\partial \mathbf{J}} - \mathbf{k}' \cdot \frac{\partial}{\partial \mathbf{J}'} \right) f_0(\mathbf{J}) f_0(\mathbf{J}')$$

(‘wavevectors’ k_i are integers)

‘dielectric function’ encoding collective effects

pros

- No cutoffs required at large impact parameters (**no Coulomb logarithm**)
- Fully accounts for **self-gravity** and inhomogeneity
- *Already been used to describe **2D galactic disks** (Fouvry+ 2015), where **self-gravity amplifies relaxation by x1000***

cons

it's a complete nightmare

$$\frac{\partial f_0(\mathbf{J}, t)}{\partial t} = -\frac{\partial}{\partial \mathbf{J}} \cdot \mathbf{F},$$

$$\mathbf{F}(\mathbf{J}) = \frac{1}{2}(2\pi)^4 \mu \sum_{\mathbf{n}\mathbf{n}'} \mathbf{n} \int d^3\mathbf{J}' |E_{\mathbf{n}\mathbf{n}'}(\mathbf{J}, \mathbf{J}', \mathbf{n} \cdot \boldsymbol{\Omega})|^2 \times \left(\mathbf{n}' \cdot \frac{\partial}{\partial \mathbf{J}'} - \mathbf{n} \cdot \frac{\partial}{\partial \mathbf{J}} \right) f_0(\mathbf{J}) f_0(\mathbf{J}') \delta(\mathbf{n}' \cdot \boldsymbol{\Omega}' - \mathbf{n} \cdot \boldsymbol{\Omega}).$$

$$E_{\mathbf{n}\mathbf{n}'}(\mathbf{J}, \mathbf{J}', \omega) \equiv \frac{1}{\varepsilon} \sum_{pp'} \hat{\Phi}^{(p)}(\mathbf{n}, \mathbf{J}) \epsilon_{pp'}^{-1}(\omega) [\hat{\Phi}^{(p')}(\mathbf{n}', \mathbf{J}')]^*,$$

$$\epsilon_{pp'}(\omega) \equiv \delta_{pp'} - M_{pp'}$$

$$M_{pp'} \equiv \frac{(2\pi)^3}{\varepsilon} \int d^3\mathbf{J} \sum_{\mathbf{n}} \frac{\mathbf{n} \cdot \frac{\partial f_0}{\partial \mathbf{J}}}{\mathbf{n} \cdot \boldsymbol{\Omega} - \omega} [\hat{\Phi}^{(p)}(\mathbf{n}, \mathbf{J})]^* \hat{\Phi}^{(p')}(\mathbf{n}, \mathbf{J}).$$

$$\hat{\Phi}^{(p)}(\mathbf{n}, \mathbf{J}) \equiv \delta_{m^p}^{n_3} i^{m^p - n_2} Y_{\ell^p}^{n_2}(\pi/2, 0) R_{n_2 m^p}^{\ell^p}(\beta) W_{\ell^p n^p}^{\tilde{\mathbf{n}}}(\tilde{\mathbf{J}}),$$

$$W_{\ell n}^{\tilde{\mathbf{n}}}(\tilde{\mathbf{J}}) \equiv \frac{1}{\pi} \int_0^\pi d\theta_1 U_n^\ell(r(\theta_1)) \cos[n_1 \theta_1 + n_2(\theta_2 - \psi)]$$

Applying the BL equation to globular clusters

Revisiting relaxation in globular clusters

Chris Hamilton^{1,2★}, Jean-Baptiste Fouvry^{3†}, James Binney¹ and
Christophe Pichon^{4,5,6} (2018, *MNRAS*)

Wanted to evolve f_0 using the BL equation, and compare to Chandrasekhar's prediction.

But this is much harder than integrating the usual (homogeneous) Fokker-Planck equation!

Had to restrict ourselves to:

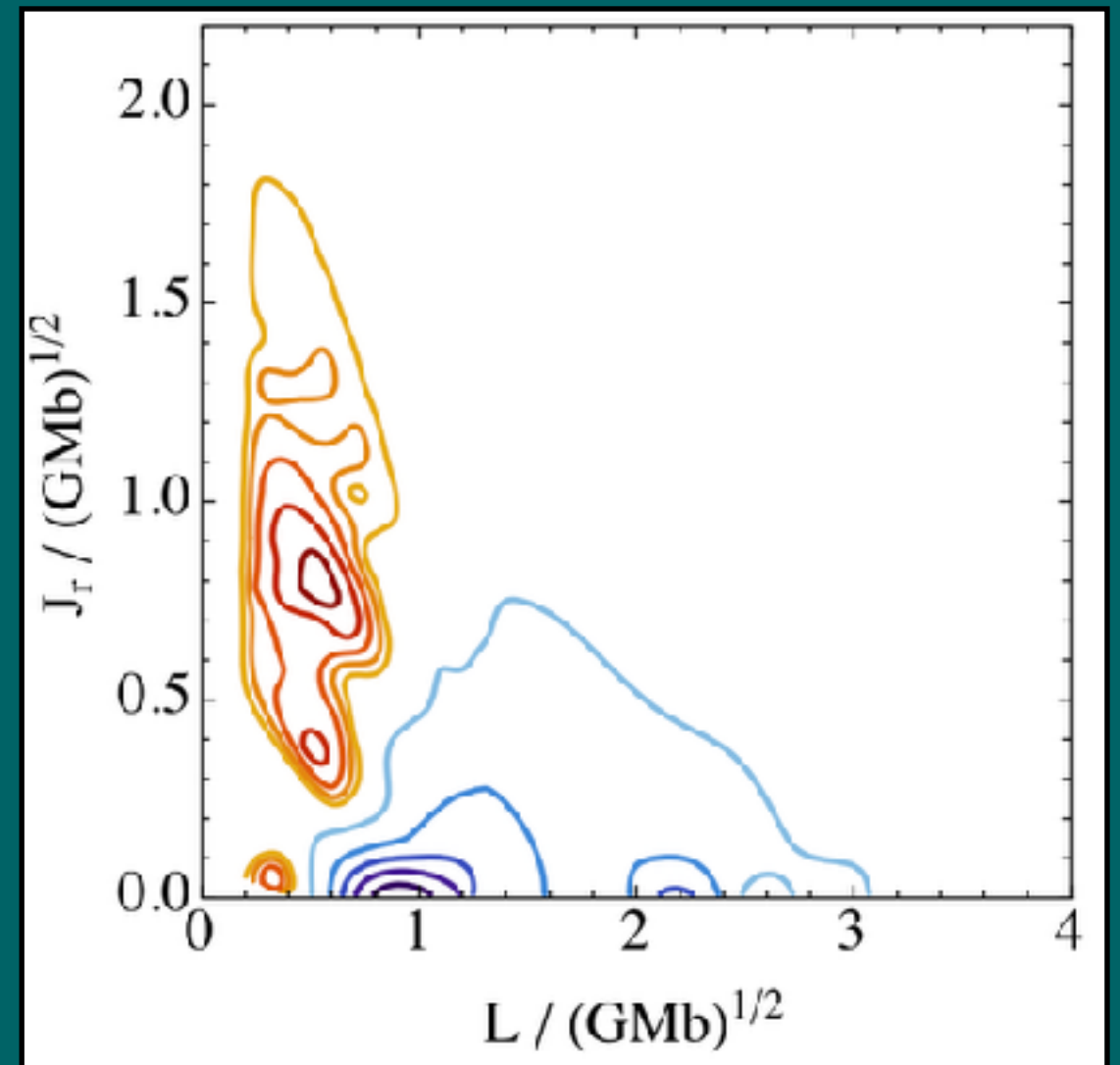
- Simply evaluating $F(J)$ (and hence df_0/dt) at $t=0$
- Small ℓ (i.e. **only compute largest scale fluctuations**)
- Small k, k' (i.e. **only consider simple resonances**)

Results

- $\ell=1$ (dipole) mode of cluster is easily excited and drives significant evolution
- The flux F from this mode is amplified by self-gravity by a factor 10-100
- Its effect is to put stars on less radial orbits with more angular momentum (at roughly the same energy)

$df_0/dt > 0$

$df_0/dt < 0$



Hamilton et al. 2018

Results

- Predicted flux from BL theory is **very different from Chandrasekhar theory!**
- This is expected: Chandrasekhar only deals with small scales (self-gravity unimportant)
- So far BL only deals with largest scales
- But both fluxes are of comparable magnitude
- Basic conclusions of Hamilton et al. (2018) were recently affirmed by extraction of $F(J_r, L)$ from N-body simulations by Lau & Binney (2019) (arxiv: 1906.11651)

Conclusions

- **System-scale resonant fluctuations amplified by self-gravity** (collective effects) certainly drive evolution of galactic disks (Fouvry+ 2015).
- They likely also **drive significant evolution of globular clusters**.
- Our best guide to the physics of large-scale relaxation comes from the **Balescu-Lenard** equation.
- Chandrasekhar's 'two-body relaxation' theory is incomplete.

Revisiting relaxation in globular clusters
Hamilton, Fouvry, Binney, Pichon, (2018) *MNRAS*

Recently, **Lau & Binney** extracted $F(J_r, L)$ from direct N-body simulations.

Relaxation of spherical stellar systems

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¹*Rudolf Peierls Centre for Theoretical Physics, Clarendon Laboratory, Parks Road, Oxford, OX1 3PU, UK*

28 June 2019

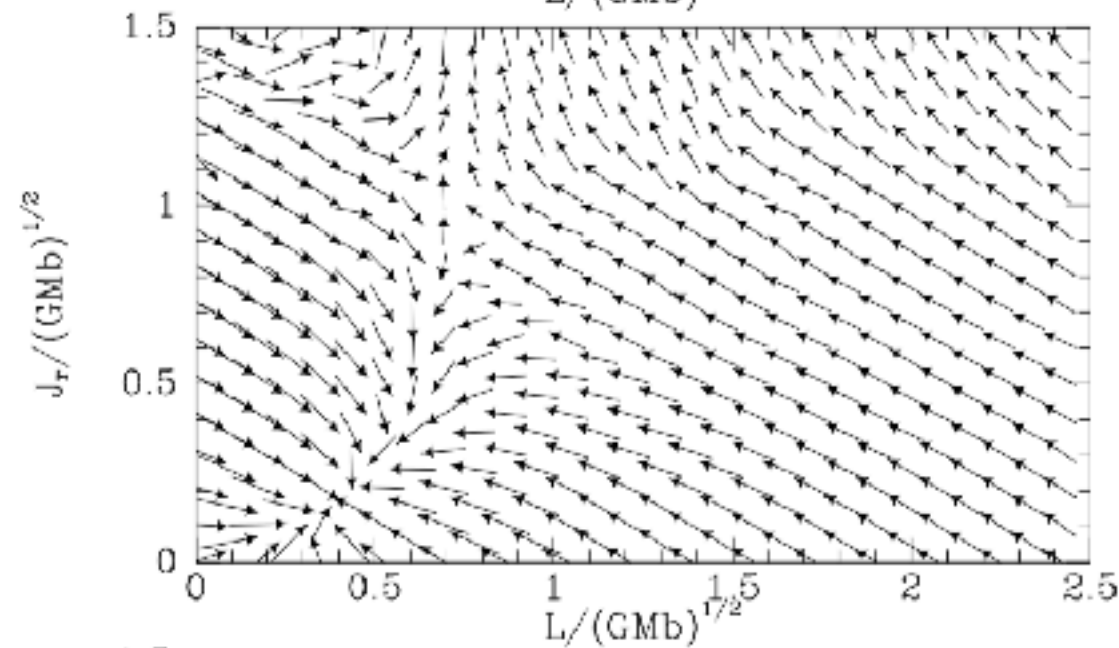
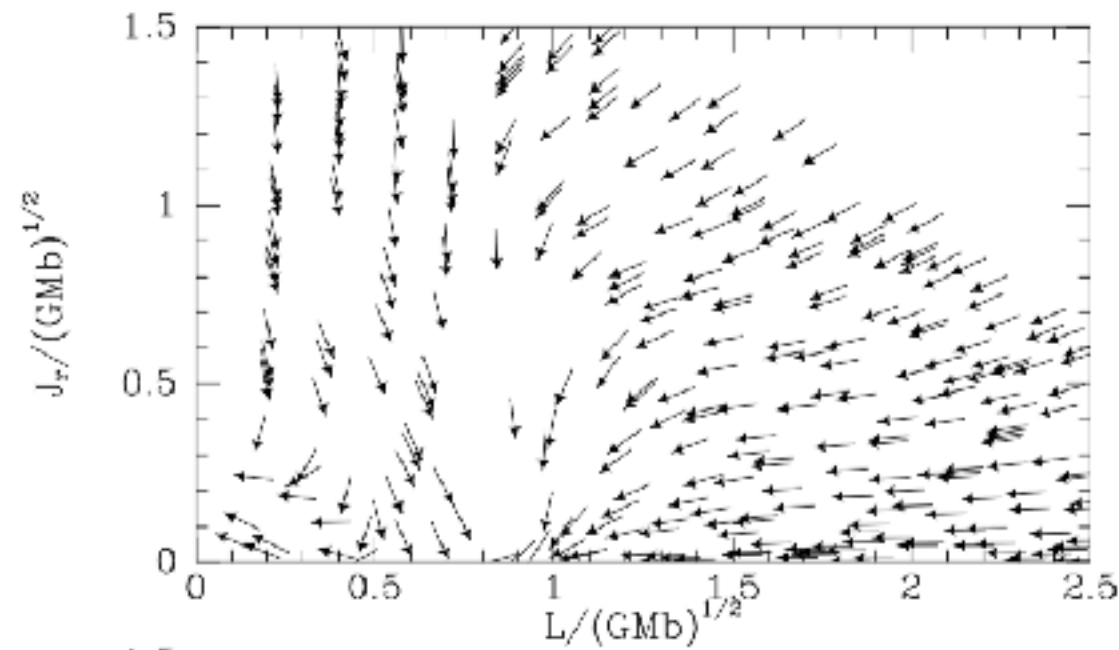
(arxiv:1906.11651)

“Over three crossing times the **original Poisson noise is amplified** more than tenfold **by self-gravity.**”

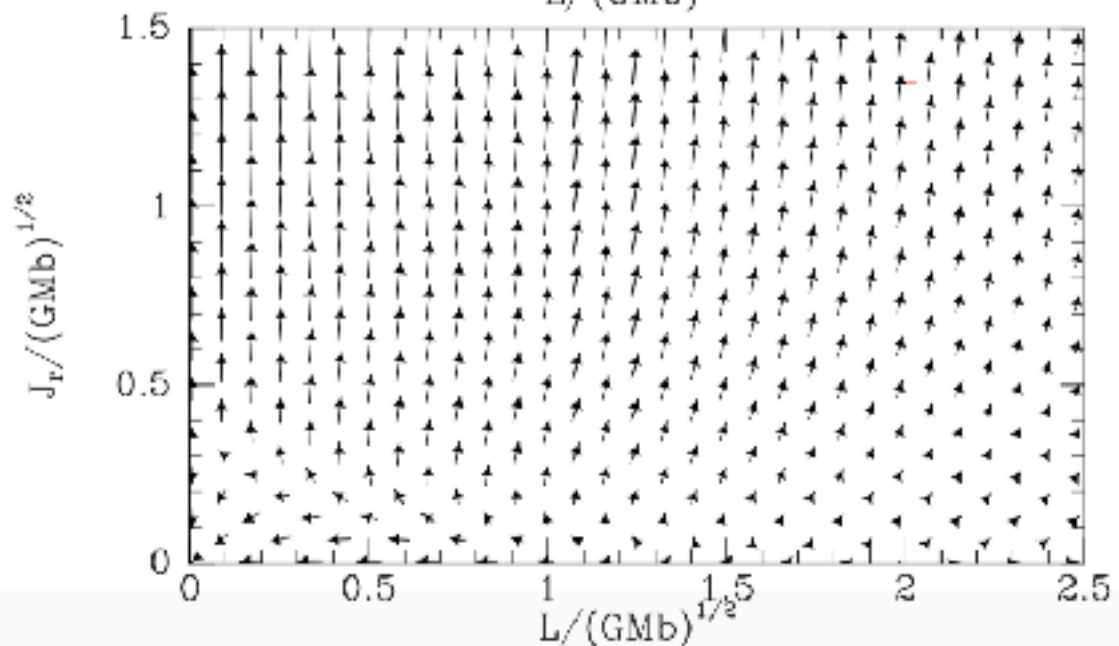
“The cluster’s fundamental **dipole mode is strongly excited** ...[and] makes a major contribution to driving diffusion of stars in energy.”

Lau & Binney (2019)

Balescu-Lenard prediction
(Hamilton+ 2018)



Flux extracted from
N-body simulations



Chandrasekhar's
two-body relaxation theory
prediction