

# Multiscale Asymptotic Paraxial Models for Approximating Vlasov-Maxwell Equations

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# Outline

- Introduction
- Governing equations
- Asymptotic expansion
- Paraxial model
- Conclusion

# Introduction

- Framework - numerical model of the Vlasov-Maxwell equations for a beam of particles in an axial frame.
- Charged particle beams examples :
  - Particle accelerators (CERN, Gyrotron, etc.)
  - Industrial uses (electron-ion beams for welding and micromachining, lithography, etc.)
- Full set of equations is coupled and so computationally expensive.
- Simpler model - the paraxial model developed by G. Laval *et al.* for an *ultra-relativistic* beam.
- Purpose of this work - extending the model to lower velocities, and even non-relativistic cases (multiscale model).

# Vlasov-Maxwell equations (1)

- Vlasov equation

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla_{\mathbf{x}} f + \mathbf{F} \cdot \nabla_{\mathbf{p}} f = 0$$

- The force is the Lorentz force

$$\mathbf{F} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

## Vlasov-Maxwell equations (2)

- Maxwell equations

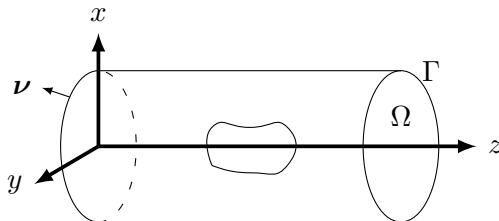
$$\left\{ \begin{array}{lcl} \operatorname{div} \mathbf{E} & = & \frac{\rho}{\varepsilon_0} \\ \operatorname{div} \mathbf{B} & = & 0 \\ \operatorname{curl} \mathbf{E} & = & -\frac{\partial \mathbf{B}}{\partial t} \\ \operatorname{curl} \mathbf{B} & = & \mu_0 \left( \mathbf{J} + \varepsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right) \end{array} \right.$$

- Charge and current densities

$$\left\{ \begin{array}{lcl} \rho & = & q \int_{\mathbb{R}^3} f \, dp \\ \mathbf{J} & = & q \int_{\mathbb{R}^3} \mathbf{v} f \, dp \end{array} \right.$$

## Beam frame

- Specific geometry



- Change of Variables (to a frame moving along the  $z$  axis with a fraction of the speed of light  $\beta c$ )

$$\zeta = \beta c t - z, \quad v_\zeta = \beta c - v_z \quad (0 < \beta < 1)$$

- Derivatives take the form

$$\left( \frac{\partial}{\partial z}, \frac{\partial}{\partial v_z}, \frac{\partial}{\partial t} \right) \rightarrow \left( -\frac{\partial}{\partial \zeta}, -\frac{\partial}{\partial v_\zeta}, \frac{\partial}{\partial t} + \beta c \frac{\partial}{\partial \zeta} \right)$$

# Scaling

- Some assumptions
  - ① The characteristic beam length  $l$  is much smaller than the length of the device.
  - ② The characteristic transverse velocity  $\bar{v}$  is much smaller than the speed of light  $c$ .

- Define

$$\eta \equiv \frac{\bar{v}}{c} \ll 1$$

- Also define characteristic time  $T = \frac{l}{\bar{v}}$  and characteristic longitudinal velocity  $\bar{w}$ .

## Transverse and longitudinal quantities

- It will be convenient to define the transverse quantities

$$\begin{aligned}\mathbf{x}_\perp &= (x, y) & \mathbf{v}_\perp &= (v_x, v_y) \\ \mathbf{grad}_\perp \phi &= \left( \frac{\partial \phi}{\partial x}, \frac{\partial \phi}{\partial y} \right) & \mathbf{curl}_\perp \phi &= \left( \frac{\partial \phi}{\partial y}, -\frac{\partial \phi}{\partial x} \right) \\ \mathbf{div}_\perp \mathbf{A}_\perp &= \frac{\partial A_x}{\partial x} + \frac{\partial A_y}{\partial y} & \mathbf{curl}_\perp \mathbf{A}_\perp &= \frac{\partial A_y}{\partial x} - \frac{\partial A_x}{\partial y}\end{aligned}$$

- Also define the *Pseudo-field*

$$\mathcal{E}_\perp = (E_x - \beta c B_y, E_y + \beta c B_x)$$



## Dimensionless relativistic Vlasov equation (1)

Applying these changes to the Vlasov equation

$$\begin{aligned} & \frac{\partial f}{\partial t} + \mathbf{v}_\perp \cdot \mathbf{grad}_\perp f + \frac{\bar{w}}{\bar{v}} v_\zeta \frac{\partial f}{\partial \zeta} \\ & + \operatorname{div}_{\mathbf{v}_\perp} \left( \frac{1}{\gamma} \left( (\mathbf{I} - \eta^2 \mathbf{v}_\perp \otimes \mathbf{v}_\perp) \cdot \mathbf{F}_\perp - \eta \left( \beta - \frac{\bar{w}}{c} v_\zeta \right) \mathbf{v}_\perp F_z \right) f \right) \\ & + \frac{\bar{v}}{\bar{w}} \frac{\partial}{\partial v_\zeta} \left( \frac{1}{\gamma} \left( \eta \mathbf{v}_\perp \cdot \mathbf{F}_\perp \left( \beta - \frac{\bar{w}}{c} v_\zeta \right) \right. \right. \\ & \quad \left. \left. + \left( \left( \frac{\bar{w}}{c} \right)^2 v_\zeta^2 - 2\beta \frac{\bar{w}}{c} v_\zeta + \beta^2 - 1 \right) F_z \right) f \right) = 0 \end{aligned}$$

with

$$\gamma = \left( 1 - \beta^2 + 2\beta \frac{\bar{w}}{c} v_\zeta - \eta^2 |\mathbf{v}_\perp|^2 - \left( \frac{\bar{w}}{c} \right)^2 v_\zeta^2 \right)^{-\frac{1}{2}}$$

## Dimensionless relativistic Vlasov equation (2)

- With boundary conditions

$$f = 0 \quad \text{for} \quad \begin{cases} (\mathbf{x}_\perp, \zeta) \in \Gamma \times (0, Z) & \mathbf{v} \cdot \boldsymbol{\nu} < 0 \\ \mathbf{x}_\perp \in \Omega, \zeta = 0 & v_\zeta > 0 \\ \mathbf{x}_\perp \in \Omega, \zeta = Z & v_\zeta < 0 \end{cases}$$

- And the force takes the form

$$\begin{aligned}\mathbf{F}_\perp &= \mathcal{E}_\perp + \left( \eta B_z \mathbf{v}_\perp + \frac{\bar{w}}{c} v_\zeta \mathbf{B}_\perp \right) \times \hat{\mathbf{e}}_z \\ F_z &= E_z + \eta (v_x B_y - v_y B_x)\end{aligned}$$

## Dimensionless Maxwell equations (1)

$$\eta \frac{\partial \mathbf{E}_\perp}{\partial t} + \frac{1}{\beta} \frac{\partial}{\partial \zeta} (\mathcal{E}_\perp - (1 - \beta^2) \mathbf{E}_\perp) - \mathbf{curl}_\perp B_z = -\eta \mathbf{J}_\perp$$

$$\eta \frac{\partial E_z}{\partial t} + \frac{1}{\beta} \text{div}_\perp (\mathcal{E}_\perp - (1 - \beta^2) \mathbf{E}_\perp) = \frac{\bar{w}}{c} J'_\zeta$$

$$\text{div}_\perp \mathbf{E}_\perp - \frac{\partial E_z}{\partial \zeta} = \rho$$

$$\eta \frac{\partial \mathbf{B}_\perp}{\partial t} + \frac{\partial}{\partial \zeta} (\mathcal{E}_\perp \times \hat{\mathbf{e}}_z) + \mathbf{curl}_\perp E_z = 0$$

$$\eta \frac{\partial B_z}{\partial t} + \text{curl}_\perp \mathcal{E}_\perp = 0$$

$$\text{div}_\perp \mathbf{B}_\perp - \frac{\partial B_z}{\partial \zeta} = 0$$

## Dimensionless Maxwell equations (2)

With boundary conditions

$$\mathbf{E}_{\perp} \cdot \boldsymbol{\tau} = 0$$

$$E_z = 0$$

$$\mathcal{E}_{\perp} \cdot \boldsymbol{\tau} = \beta \mathbf{B}_{\perp} \cdot \boldsymbol{\nu}$$

$$\left( \eta \frac{\partial}{\partial t} + \beta \frac{\partial}{\partial \zeta} \right) (\mathbf{B}_{\perp} \cdot \boldsymbol{\nu}) = 0$$

$$\eta \iint_{\Omega} \frac{\partial B_z}{\partial t} d\mathbf{x}_{\perp} + \beta \oint_{\Gamma} \mathbf{B}_{\perp} \cdot \boldsymbol{\nu} dl = 0$$

$$\iint_{\Omega} \left( \eta \frac{\partial}{\partial t} + \beta \frac{\partial}{\partial \zeta} \right) B_z d\mathbf{x}_{\perp} = 0$$

## Asymptotic expansion procedure

- Assume that  $\bar{w}$  takes the form

$$\bar{w} = a\eta(\beta c) + b\eta^2(\beta c)$$

- For  $a = 0$ ,  $\bar{w} \sim \eta^2$  i.e.  $v_\zeta \ll \mathbf{v}_\perp \ll v_z \approx \beta c$  - *faster case*.
- For  $b = 0$ ,  $\bar{w} \sim \eta$  i.e.  $v_\zeta \approx \mathbf{v}_\perp \ll v_z \approx \beta c$  - *slower case*.
- Expand all quantities in powers of  $\eta$ , i.e.

$$f = f^0 + f^1\eta^1 + f^2\eta^2 + \dots = \sum_{i=0}^n f^i\eta^i$$

- Write out the equations for each power of  $\eta$ .

## $n$ -th order dimensionless Vlasov equation (1)

$$\begin{aligned} \frac{\partial f^n}{\partial t} + \mathbf{v}_\perp \cdot \mathbf{grad}_\perp f^n + \beta v_\zeta \frac{\partial}{\partial \zeta} (a f^n + b f^{n-1}) \\ + \operatorname{div}_{\mathbf{v}_\perp} \sum_{i=0}^n \sum_{j=0}^i \delta^{n-i} \lambda^{i-j} f^j + \frac{\partial}{\partial v_\zeta} \sum_{i=0}^n \sum_{j=0}^i \kappa^{n-i} \mu^{i-j} f^j = 0 \end{aligned}$$

where

$$\delta = \frac{1}{\gamma}, \quad \kappa = \frac{1}{\gamma} \frac{\bar{v}}{\bar{w}}$$

## $n$ -th order dimensionless Vlasov equation (2)

$\lambda$  and  $\mu$  are defined as

$$\lambda^n = \mathbf{F}_\perp^n - \mathbf{v}_\perp (\mathbf{v}_\perp \cdot \mathbf{F}_\perp^{n-2}) - \beta \mathbf{v}_\perp (F_z^{n-1} - v_\zeta (a F_z^{n-2} + b F_z^{n-3}))$$

$$\begin{aligned} \mu^n = & \beta \mathbf{v}_\perp \cdot (\mathbf{F}_\perp^{n-1} - v_\zeta (a \mathbf{F}_\perp^{n-2} + b \mathbf{F}_\perp^{n-3})) \\ & - (1 - \beta^2) F_z^n - 2\beta^2 v_\zeta (a F_z^{n-1} + b F_z^{n-2}) \\ & + \beta^2 v_\zeta^2 (a^2 F_z^{n-2} + 2ab F_z^{n-3} + b^2 F_z^{n-4}) \end{aligned}$$

## $n$ -th order dimensionless Maxwell equations (1)

After some manipulations the equations are separated and take the form

$$\begin{cases} \nabla_{\perp}^2 E_z^n + (1 - \beta^2) \frac{\partial^2 E_z^n}{\partial \zeta^2} = \frac{\partial}{\partial t} \left( \beta \frac{\partial E_z^{n-1}}{\partial \zeta} + \text{curl}_{\perp} \mathbf{B}_{\perp}^{n-1} \right) & \text{in } \Omega \\ -\frac{\partial}{\partial \zeta} \left( \beta^2 \left( a J_{\zeta}^{n-1} + b J_{\zeta}^{n-2} \right) + (1 - \beta^2) \rho^n \right) & \\ E_z^n = 0 & \text{on } \Gamma \end{cases}$$



## $n$ -th order dimensionless Maxwell equations (2)

$$\left\{ \begin{array}{l} \operatorname{curl}_{\perp} \mathcal{E}_{\perp}^n = -\frac{\partial B_z^{n-1}}{\partial t} \\ \operatorname{div}_{\perp} \mathcal{E}_{\perp}^n = (1 - \beta^2) \left( \frac{\partial E_z^n}{\partial \zeta} + \rho^n \right) \\ \quad + \beta^2 \left( a J_{\zeta}^{n-1} + b J_{\zeta}^{n-2} \right) - \beta \frac{\partial E_z^{n-1}}{\partial t} \\ \oint_{\Gamma} \mathcal{E}_{\perp}^n \cdot \boldsymbol{\tau} \, dl = - \iint_{\Omega} \frac{\partial B_z^{n-1}}{\partial t} \, d\mathbf{x}_{\perp} \end{array} \right. \quad \text{in } \Omega$$

### $n$ -th order dimensionless Maxwell equations (3)

$$\begin{cases} \mathbf{curl}_\perp (\mathbf{curl}_\perp \mathbf{E}_\perp^n) - (1 - \beta^2) \frac{\partial^2 \mathbf{E}_\perp^n}{\partial \zeta^2} \\ = -\frac{\partial^2 \mathcal{E}_\perp^n}{\partial \zeta^2} - \mathbf{curl}_\perp \left( \frac{\partial B_z^{n-1}}{\partial t} \right) - \beta \frac{\partial}{\partial \zeta} \left( \frac{\partial \mathbf{E}_\perp^{n-1}}{\partial t} + \mathbf{J}_\perp^{n-1} \right) & \text{in } \Omega \\ \mathbf{E}_\perp^n \cdot \boldsymbol{\tau} = 0 & \text{on } \Gamma \end{cases}$$

## $n$ -th order dimensionless Maxwell equations (4)

$$\left\{ \begin{array}{l} \operatorname{curl}_{\perp} \mathbf{B}_{\perp}^n = \frac{\partial E_z^{n-1}}{\partial t} + \beta \operatorname{div}_{\perp} \mathbf{E}_{\perp}^n - \beta \left( a J_{\zeta}^{n-1} + b J_{\zeta}^{n-2} \right) \\ \operatorname{div}_{\perp} \mathbf{B}_{\perp}^n = -\frac{1}{\beta} \left( \operatorname{curl}_{\perp} \mathbf{E}_{\perp}^n + \frac{\partial B_z^{n-1}}{\partial t} \right) \\ \oint_{\Gamma} \mathbf{B}_{\perp}^n \cdot \boldsymbol{\nu} \, dl = -\frac{1}{\beta} \iint_{\Omega} \frac{\partial B_z^{n-1}}{\partial t} \, d\mathbf{x}_{\perp} \end{array} \right. \quad \text{in } \Omega$$

$$\left\{ \begin{array}{l} \frac{\partial B_z^n}{\partial \zeta} = \operatorname{div}_{\perp} \mathbf{B}_{\perp}^n \\ \iint_{\Omega} \frac{\partial B_z^n}{\partial \zeta} \, d\mathbf{x}_{\perp} = -\frac{1}{\beta} \iint_{\Omega} \frac{\partial B_z^{n-1}}{\partial t} \, d\mathbf{x}_{\perp} \end{array} \right. \quad \text{in } \Omega$$

## Paraxial model

In order to determine  $f$

- 1 Start with charge and current densities  $\rho$  and  $\mathbf{J}$  (from the Vlasov equation).
- 2 Select relevant case ( $a = 0$  or  $b = 0$ ).
- 3 Solve Maxwell's equations up to order  $n$  in the order which they were presented.
- 4 Calculate the force up to order  $n$ .
- 5 Solve the Vlasov equation up to order  $n$ .

# Conclusion

- A new paraxial model was presented to solve the Vlasov-Maxwell equations.
- A multiscale extension of an existing paraxial model to not necessarily ultra-relativistic cases.
- The model is hierarchical and closed for each order.
- Quasi static model - all time derivatives are on the LHS.
- Future prospects
  - Numerical simulations
  - Expanding further with a non-constant velocity

Thank you for your time !