

Quantum electron dynamics in thin metal films

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Outline

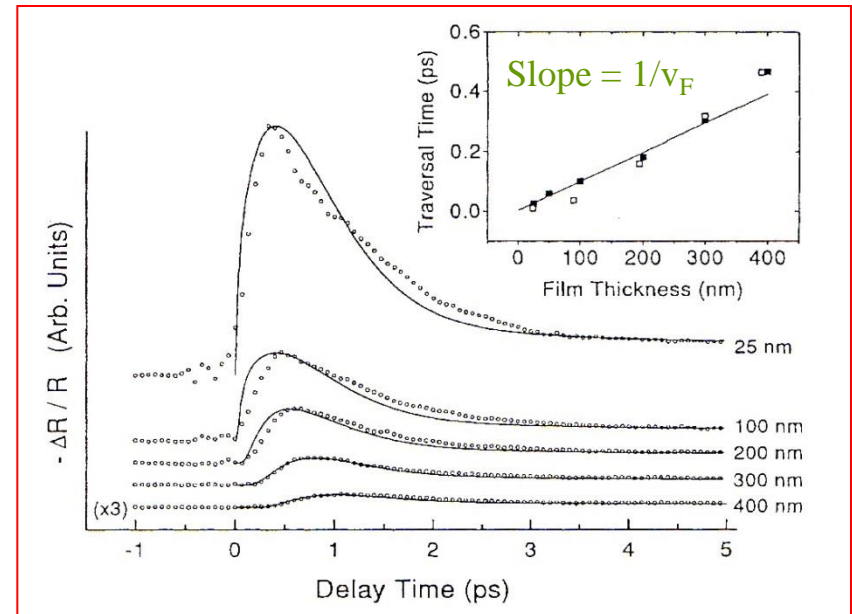
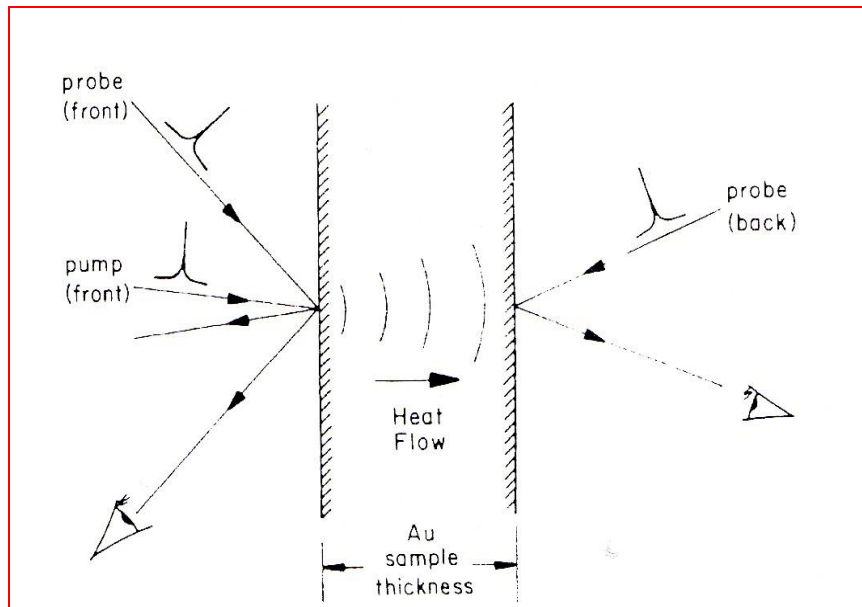
- **Motivations: quantum electron dynamics**
 - *Electrons in solid-state nanometric devices*
- **Phase-space modeling: from classical to quantum**
 - *Semi-classical: Vlasov equation*
 - *Quantum: Wigner functions, hydrodynamics*
- **Results: Electron dynamics in thin metal films**
 - *Quantum-classical transition*
 - *Relaxation and decoherence*
- **Spin and relativistic effects**
 - *Vlasov equation with spin*
 - *Semi-relativistic approaches*

Quantum electron dynamics in thin metal films

- Experimental study of heat flow through Au films (“pump-probe”)
- Typical results:
 - Heat transport is ballistic, not diffusive
 - It occurs at Fermi velocity of the metal

➤ Ballistic: $T = L / V$

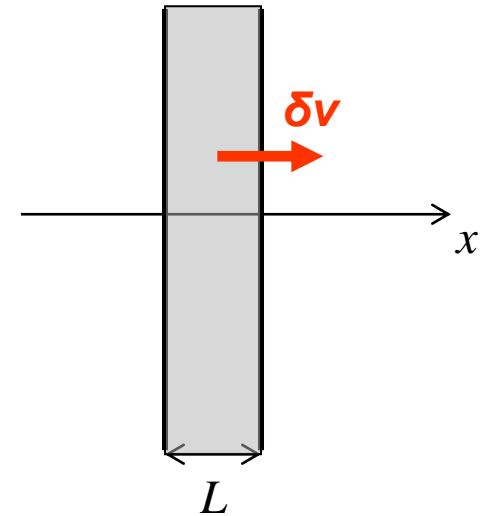
➤ Diffusive: $T = L^2 / D$



C. Suarez et al., Phys. Rev. Lett. **75**, 4536 (1995)

Classical model

- 1D slab geometry
 - Only fields normal to the film surface are considered
- Electron dynamics in the (x, v) phase space: classical Vlasov-Poisson system
- Ions: fixed ion density profile $n_i(x)$ (“jellium”)
- Initially, electrons are at equilibrium at finite temperature
- Electron are excited at $t=0$ by imparting a velocity δv to their equilibrium distribution



$$\frac{\partial f_e}{\partial t} + v \frac{\partial f_e}{\partial x} + \frac{e}{m_e} \frac{\partial \phi}{\partial x} \frac{\partial f_e}{\partial v} = 0$$

$$\frac{d^2 \phi}{dx^2} = \frac{e}{\epsilon_0} [n_e(x) - n_i(x)]$$

$$n_e(x, t) = \int f_e(x, v, t) dv$$

Physical parameters for sodium and gold films

| | units | Au | Na |
|-----------------|------------------|----------------------|----------------------|
| \bar{n}_i | m^{-3} | 5.9×10^{28} | 2.5×10^{28} |
| ω_p^{-1} | fs | 0.07 | 0.11 |
| $\hbar\omega_p$ | eV | 9.02 | 5.87 |
| E_F | eV | 5.53 | 3.12 |
| T_F | K | 6.41×10^4 | 3.62×10^4 |
| L_F | nm | 0.09 | 0.12 |
| v_F | ms^{-1} | 1.39×10^6 | 1.05×10^6 |
| r_s | nm | 0.16 | 0.21 |
| r_s/a_0 | — | 3.01 | 4.0 |

$$(L_F = v_F / \omega_p)$$

NB: we will describe generic effects that do not depend on the specific properties of the metal

Relevant energy quantities

Total energy : $E_{tot} = E_{kin} + E_H$

Kinetic energy : $E_{kin} = E_{cm} + E_{TF} + E_{th}$

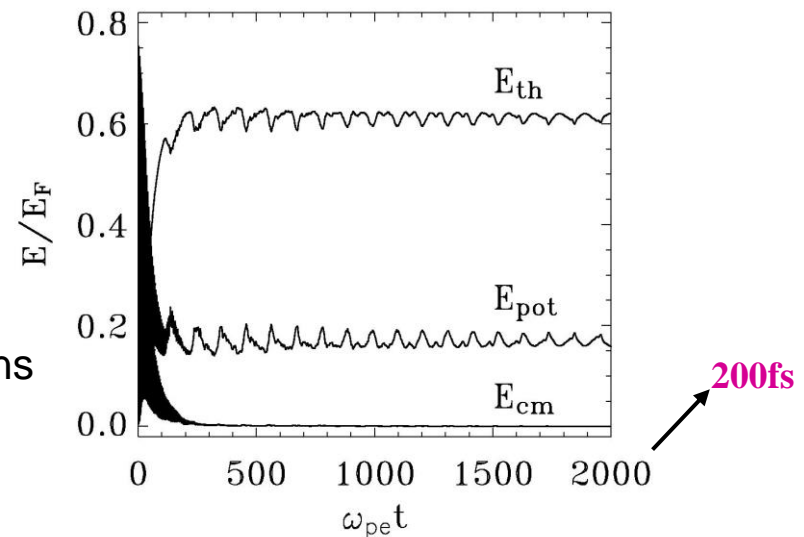
Center - of - mass energy : $E_{cm} = \frac{m}{2} \int u^2 n dx$

Thomas - Fermi energy : $E_{TF} = \frac{1}{5} \frac{\hbar^2}{2m} (3\pi^2)^{2/3} n^{5/3}$

Thermal energy : $E_{th} = E_{kin} - E_{cm} - E_{TF}$

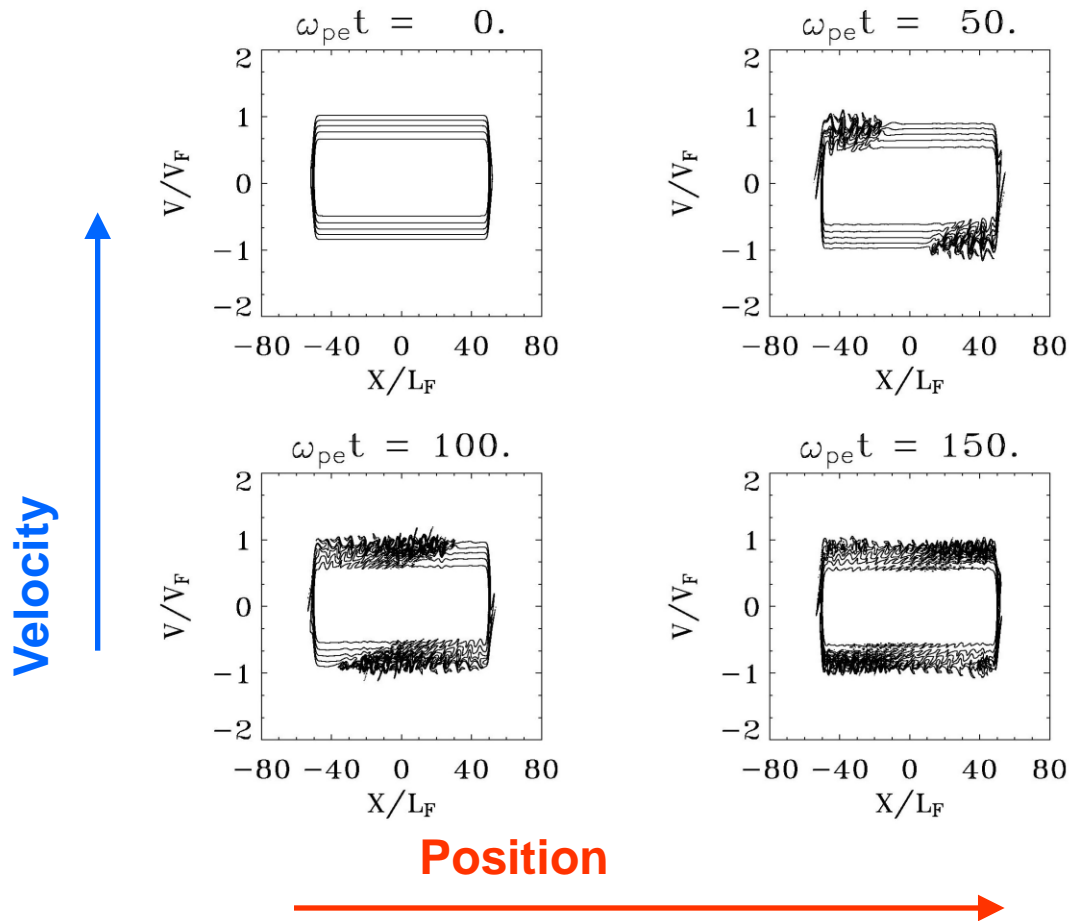
Main results for sodium films

- **Initial phase** ($\omega_{pe}t < 200 \approx 20$ fs)
 - Damped oscillations at the plasma frequency.
 - Center-of-mass energy (E_{cm}) is converted into thermal energy (E_{th}).
- **Saturation phase** ($\omega_{pe}t > 200 \approx 20$ fs)
 - Low frequency oscillations (period $\approx 100 \omega_{pe}^{-1} \approx 10$ fs).
 - Period is equal to the time-of-flight of electrons traveling at the Fermi velocity: $T = L / V_F$
 - Electrons bounce back and forth on the film surfaces.



G. Manfredi, P.-A. Hervieux, Phys. Rev. B **70**, 201402R (2004); Phys. Rev. B **72**, 155421 (2005).

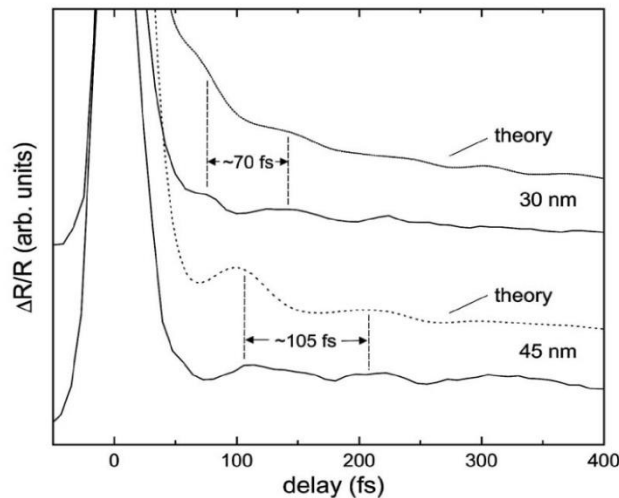
Phase-space dynamics



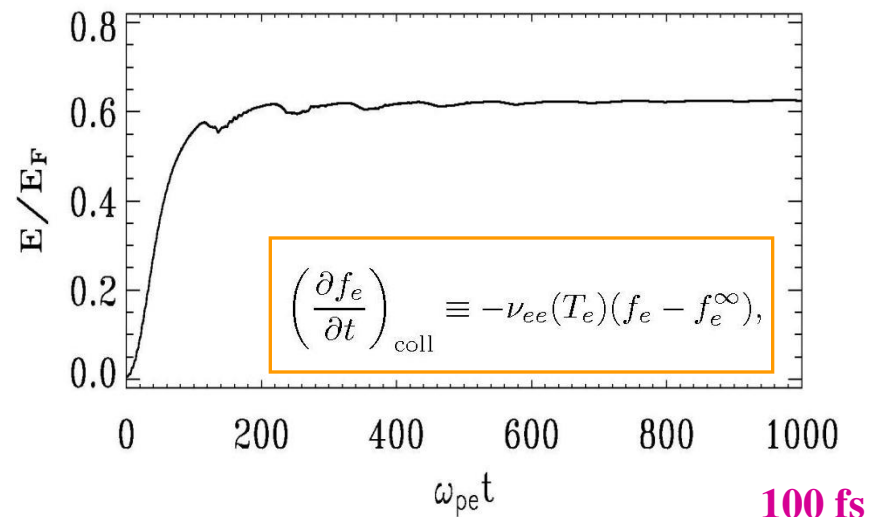
Experimental confirmation

- X. Liu, R. Stock, W. Rudolph, Phys. Rev. B 72, 195431 (2005).
- Measurements obtained with thin gold films.
- Ballistic electron oscillations with a period proportional to the film thickness.

Experiment

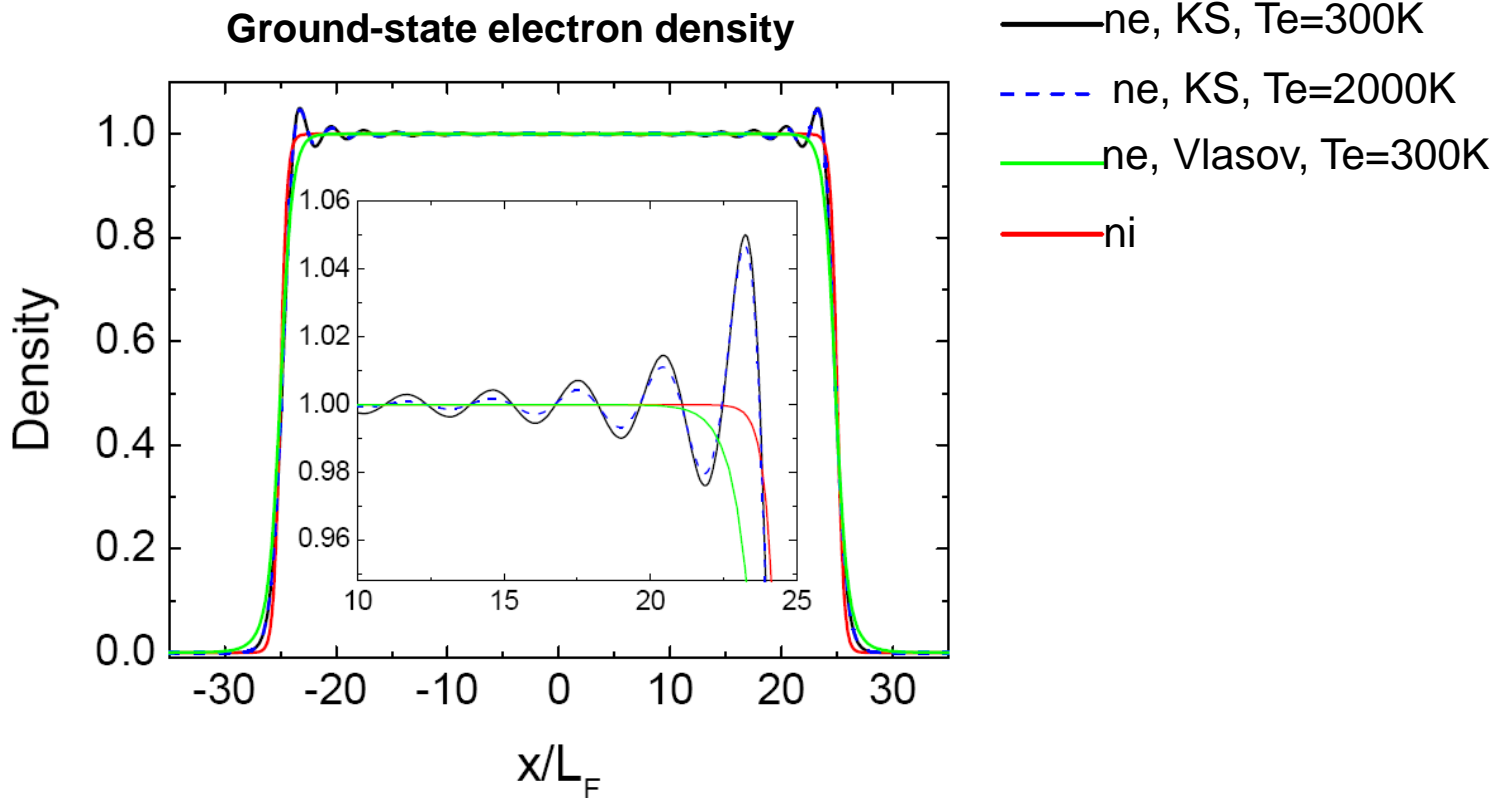


Simulation (with e-e collisions)



Quantum effects: ground-state (Kohn-Sham)

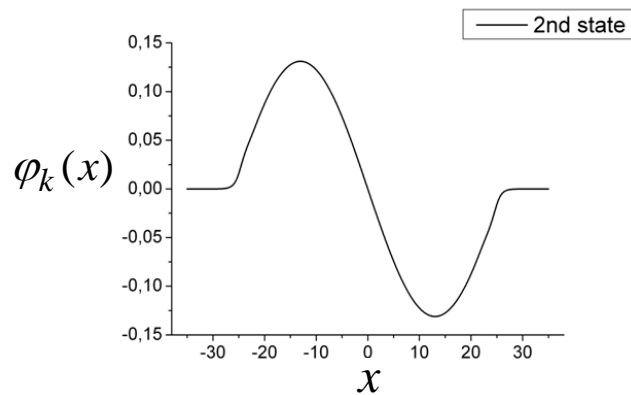
- Solution of the stationary Kohn-Sham equations (DFT) in 1D
- Provide the ground-state density



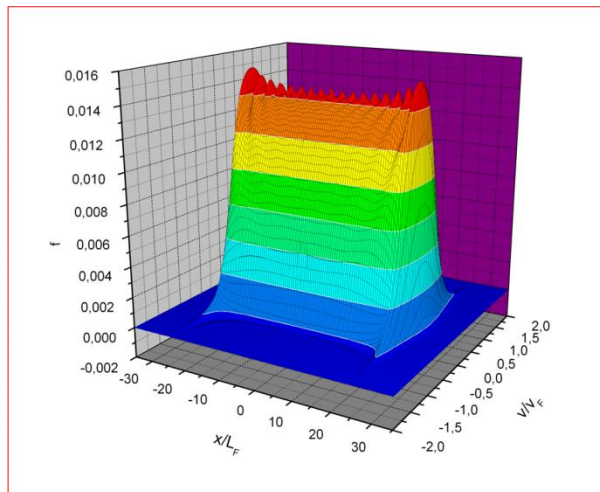
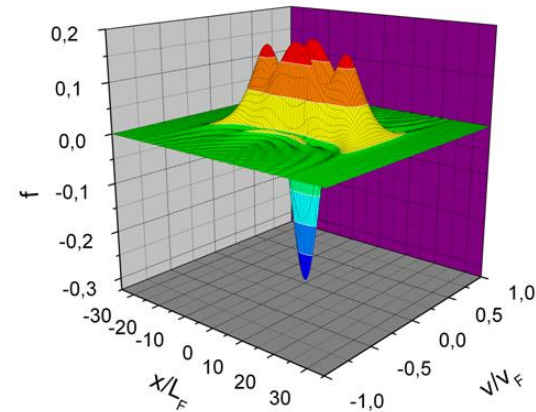
Quantum effects: ground-state Wigner functions

$$f_k(x, v) = \frac{m}{2\pi\hbar} \int_{-\infty}^{+\infty} \varphi_k^* \left(x + \frac{\lambda}{2}, t \right) \varphi_k \left(x - \frac{\lambda}{2}, t \right) e^{imv\lambda/\hbar} d\lambda.$$

Kohn-Sham wavefunction



Wigner function



Total ground-state Wigner function

$$f(x, v) = \sum_{k=1}^N p_k f_k(x, v).$$

p_k = Fermi-Dirac weights at finite T_e

Quantum effects: dynamics

- **Dynamical model: Wigner evolution equation**

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + i \frac{em}{2\pi\hbar^2} \times \int d\lambda dv' e^{im(v-v')\lambda/\hbar} \left[V_{\text{eff}} \left(x + \frac{\lambda}{2}, t \right) - V_{\text{eff}} \left(x - \frac{\lambda}{2}, t \right) \right] f(x, v', t) = 0$$

$$V_{\text{eff}} = V_H + V_{xc}$$

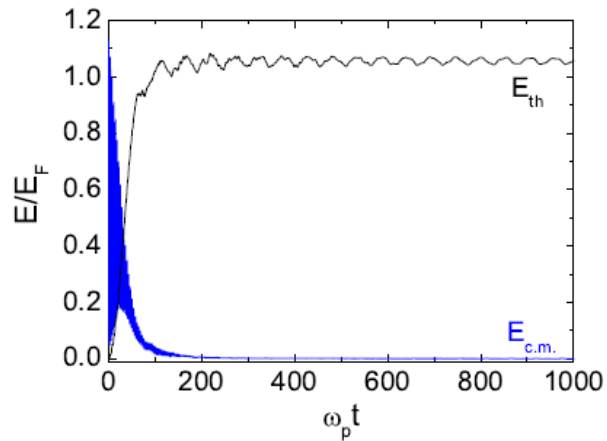
V_H = Hartree potential (from Poisson's eq.)

V_{xc} = exchange - correlations

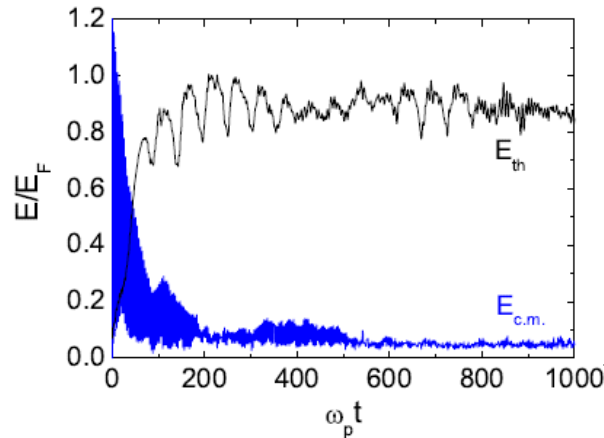
- Reduces to the Vlasov equation in the classical limit.
- Electron are excited at $t=0$ by imparting a **velocity “kick” δv** to their velocity distribution

Quantum dynamics — results

Vlasov

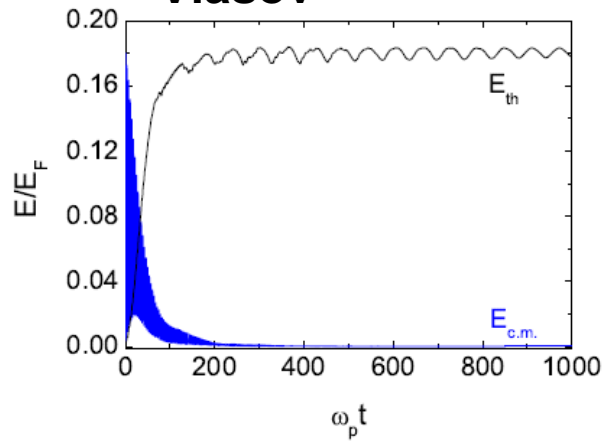


Wigner

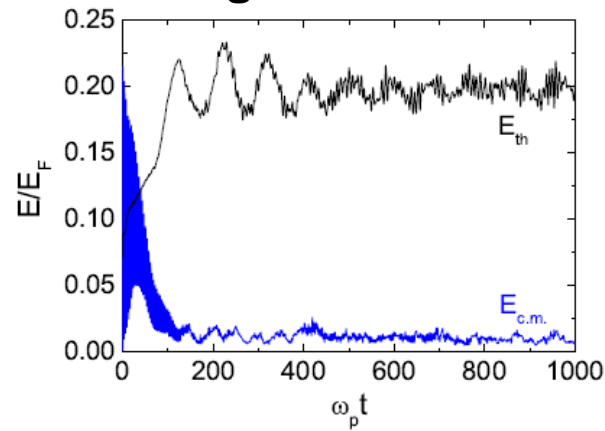


Large excitation

Vlasov



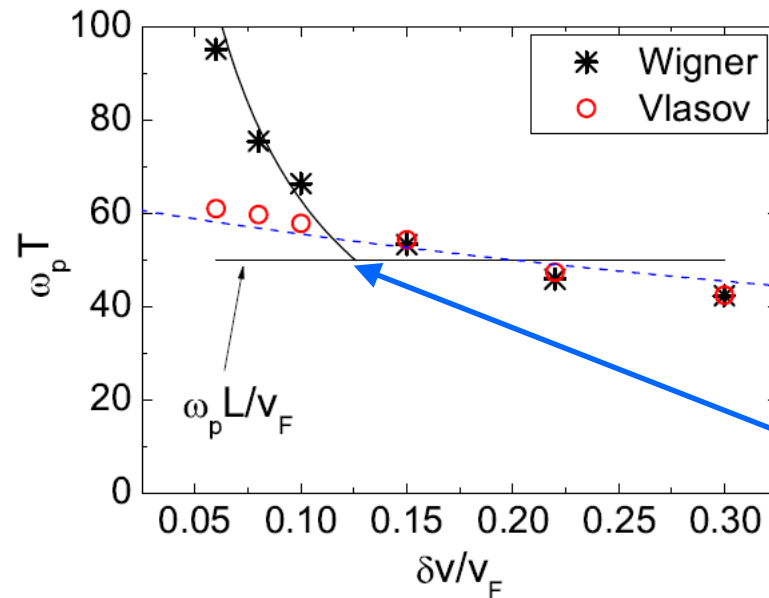
Wigner



Small excitation

Quantum dynamics — results

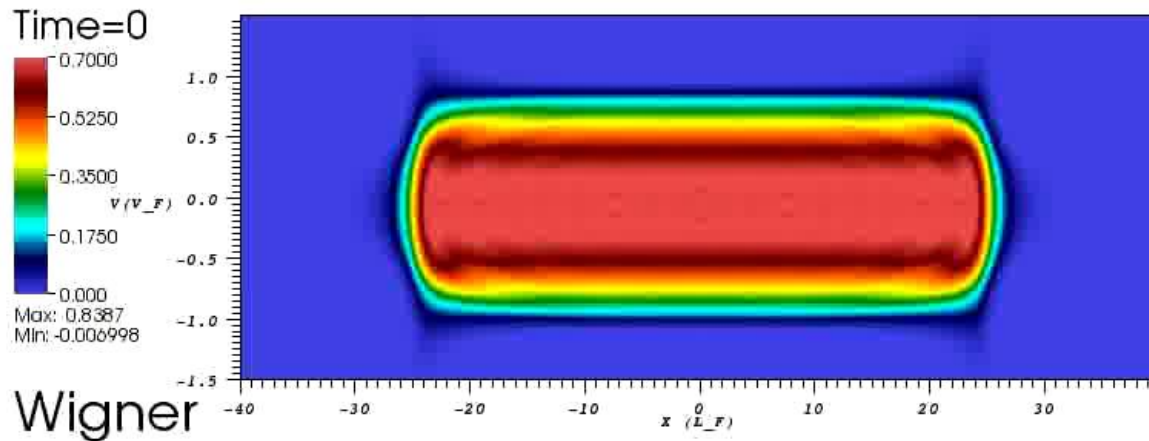
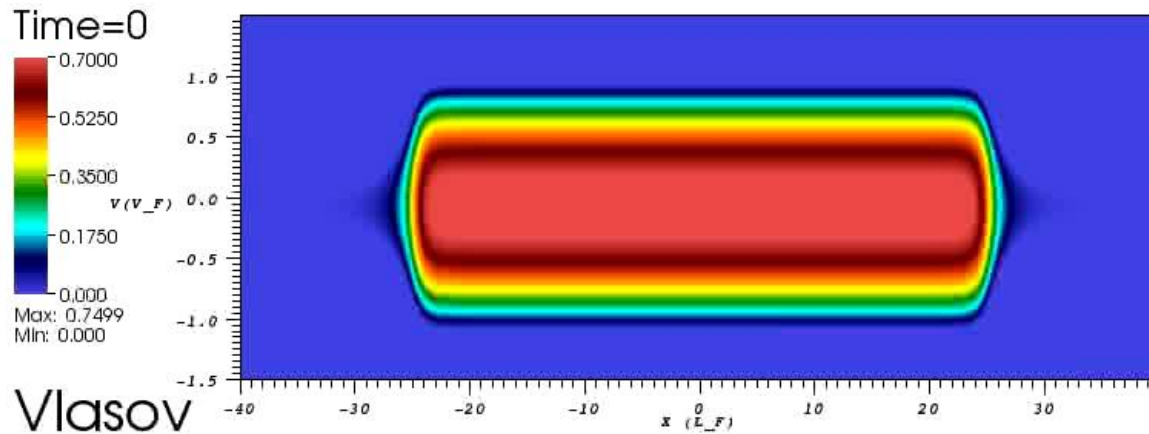
- Period of slow oscillations is identical for Wigner and Vlasov simulations for large excitations
- They diverge for small excitations: quantum effects



Threshold occurs when excitation energy is close to plasmon energy

$$\delta E = m_e v_F \delta v \approx \hbar \omega_p$$

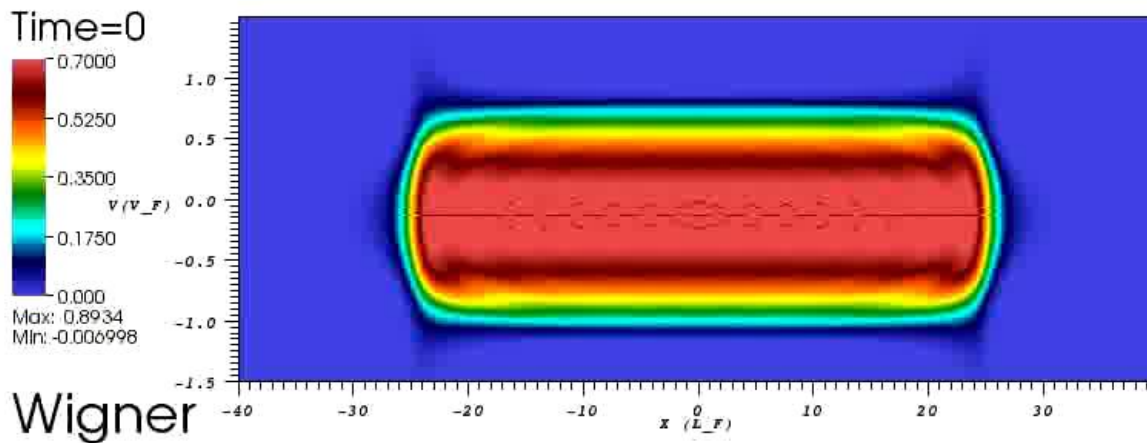
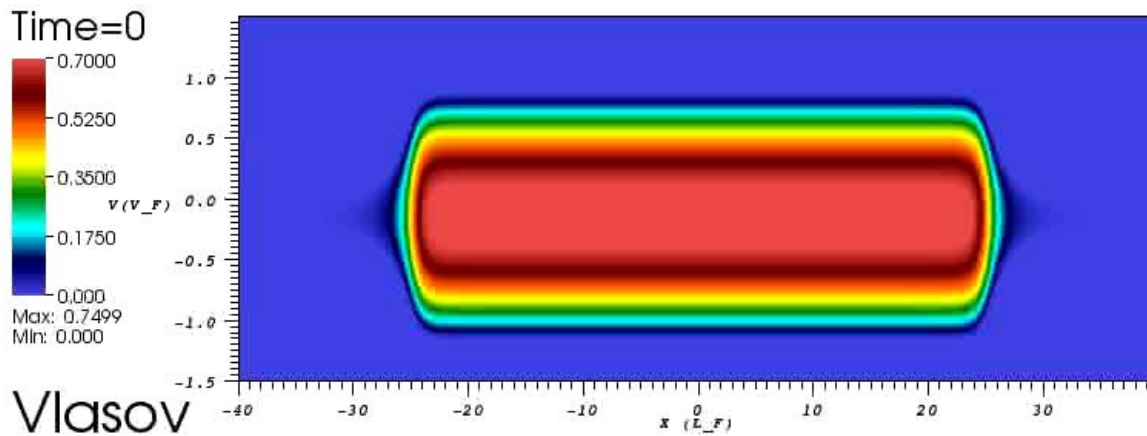
Small excitation dynamics



Position

Velocity

Large excitation dynamics



Position

Velocity

Coupling to phonons: quantum Fokker-Planck equation

$$\frac{\partial f}{\partial t} + v \frac{\partial f}{\partial x} + i \frac{em}{2\pi\hbar^2} \times \int d\lambda dv' e^{im(v-v')\lambda/\hbar} \left[V_{\text{eff}} \left(x + \frac{\lambda}{2}, t \right) - V_{\text{eff}} \left(x - \frac{\lambda}{2}, t \right) \right] f(x, v', t) = \left(\frac{\partial f}{\partial t} \right)_{\text{scatt}}$$

$$\left(\frac{\partial f}{\partial t} \right)_{\text{scatt}} = \gamma \frac{\partial}{\partial v} [v G(f)] + D \frac{\partial^2 f}{\partial v^2}$$

γ = e - ph relaxation rate

$D = \gamma(k_B T_{\text{latt}} / m_e)$ = decoherence

$$\gamma = g / c_e$$

$g = 2 \times 10^{16} \text{W/m}^3 \text{K}$: e-ph coupling constant

$$c_e(T_e) = \pi^2 n_0 k_B (T_e / 2 T_F)$$

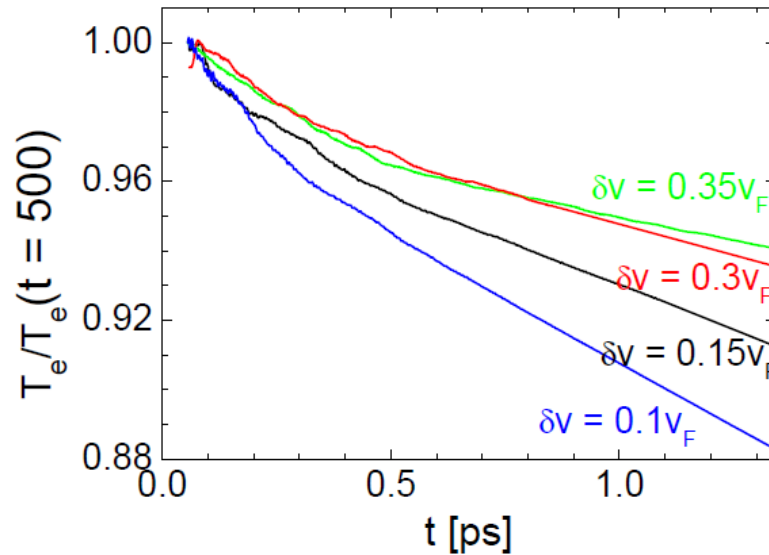
c_e = electron heat capacity

Equilibrium solution (Fermi-Dirac):

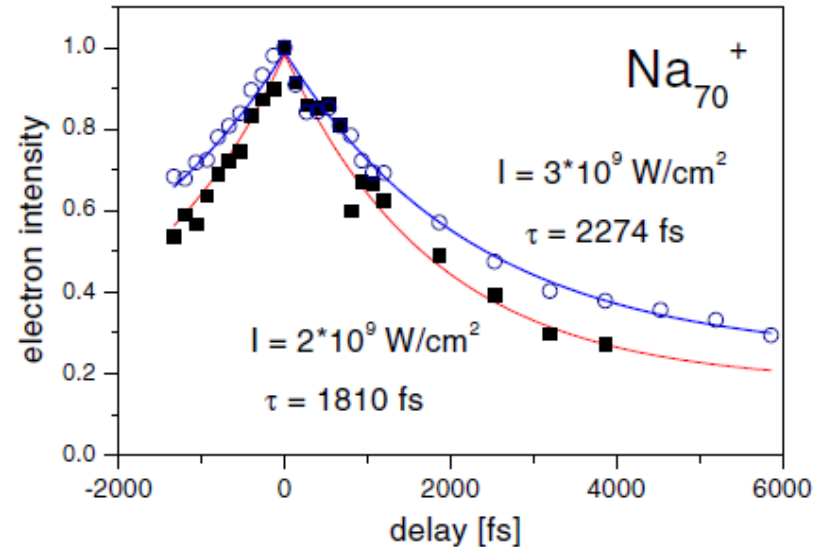
$$f_{eq}(v_x) = \frac{3}{4} \frac{n_0}{v_F} \frac{T_i}{T_F} \ln \left[1 + \exp \left(- \frac{m_e v_x^2 / 2 - \mu}{k_B T_i} \right) \right]$$

Relaxation of the electron temperature

Simulations



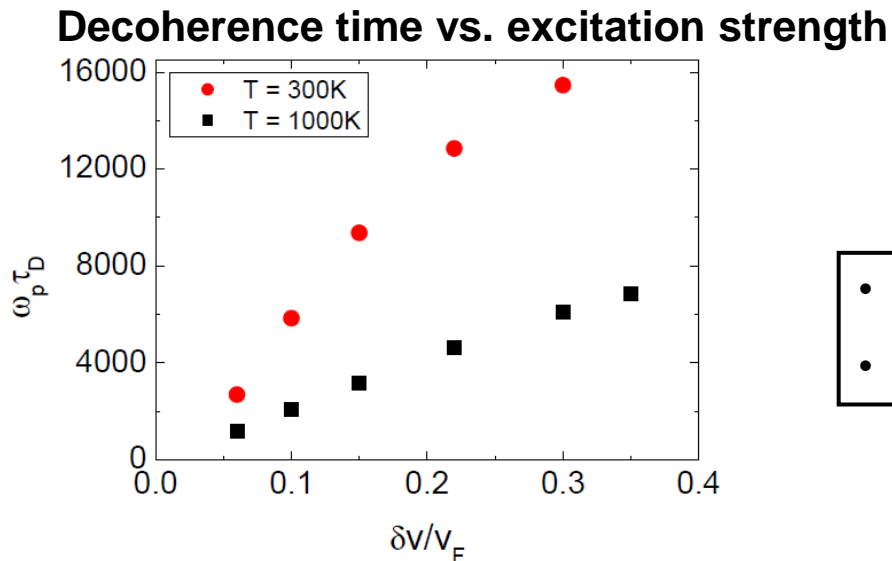
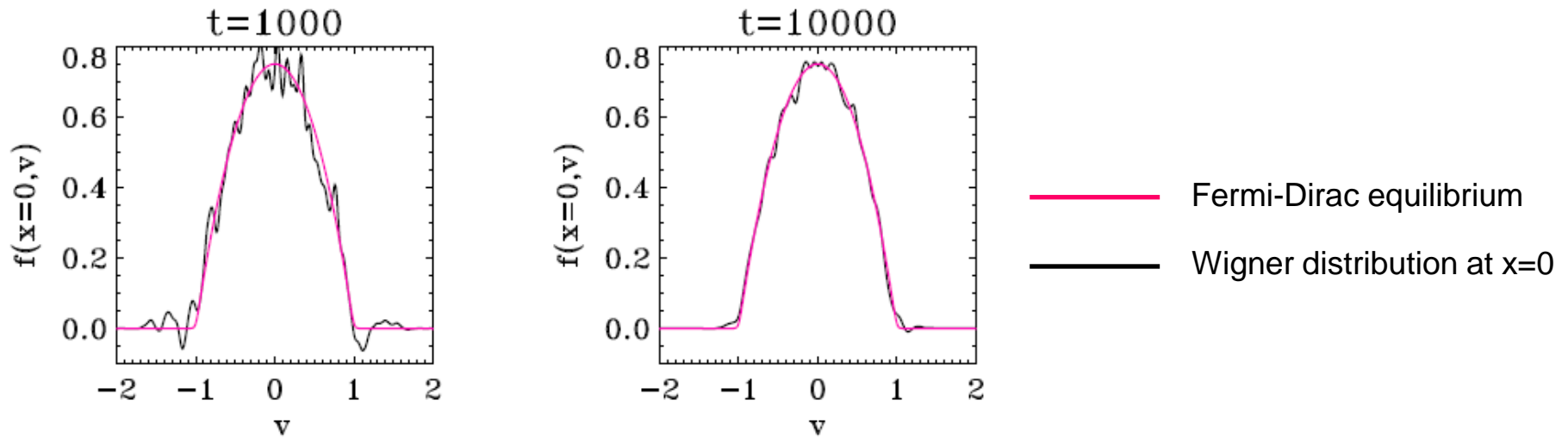
Experiments



M. Maier et al., Phys. Rev. Lett. **96**, 117405 (2006)

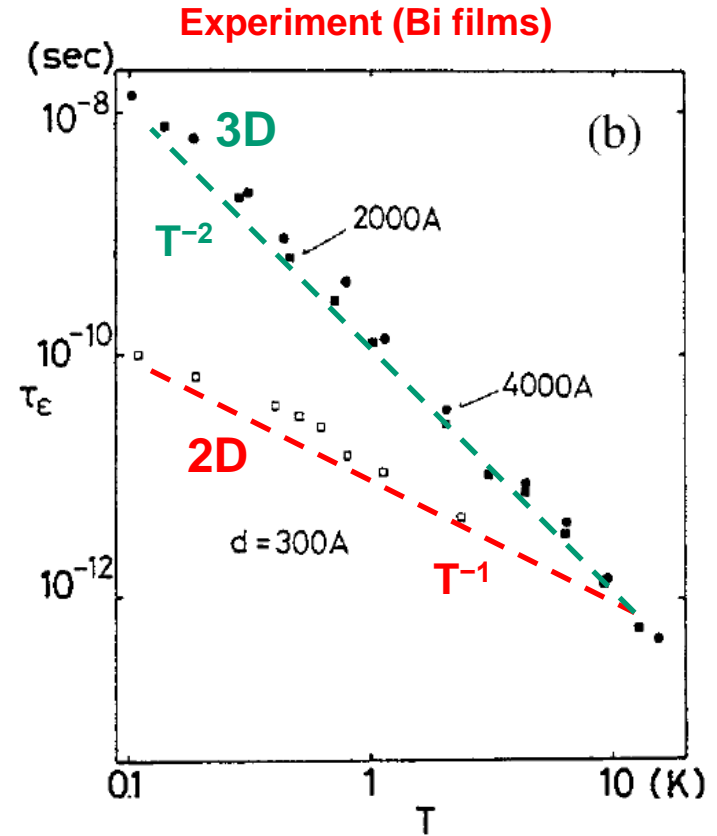
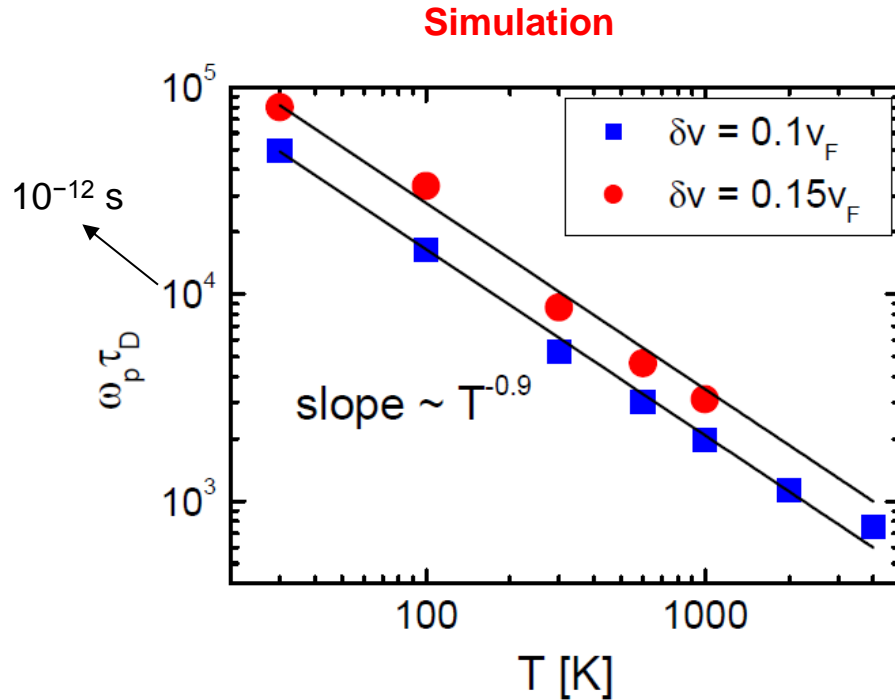
- Using similar physical parameters, we obtain relaxation times compatible with experiments on **sodium clusters**
- **Relaxation time (2-3 ps) increases with excitation**

Quantum decoherence



- Decoherence time ≈ 0.4 ps
- Relaxation time ≈ 3 ps

Decoherence vs. ion lattice temperature



Komori F, et al. 1987 *J. Phys. Soc. Japan* **56** 691

Quantum hydrodynamics for thin metal films

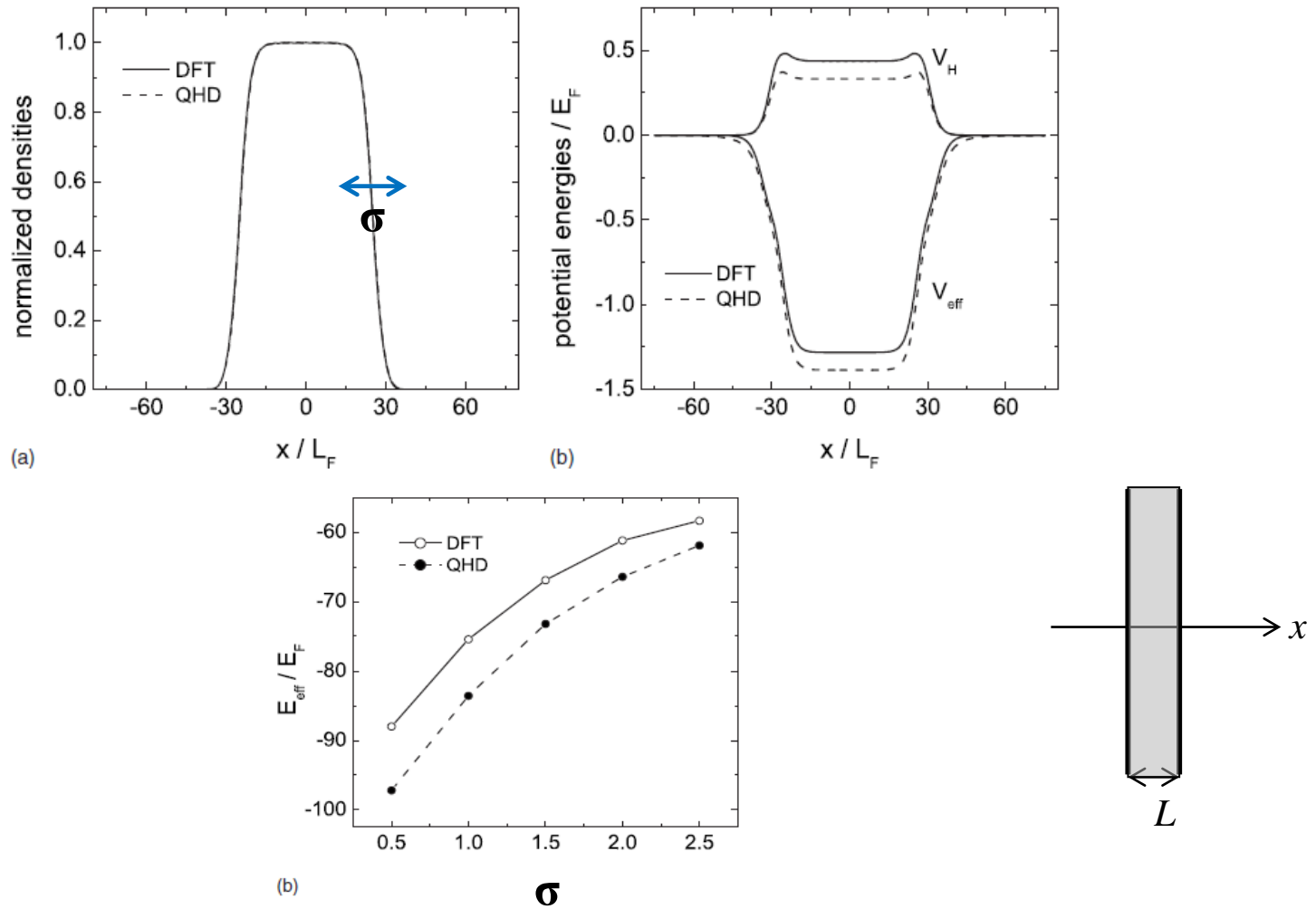
$$\left\{ \begin{array}{l} \frac{\partial n}{\partial t} + \frac{\partial (nu)}{\partial x} = 0, \\ \frac{\partial u}{\partial t} + u \frac{\partial u}{\partial x} = \frac{e}{m} \frac{\partial \phi}{\partial x} - \frac{1}{mn} \frac{\partial P^C}{\partial x} - \frac{1}{mn} \frac{\partial P^Q}{\partial x}. \end{array} \right.$$

$P^C = P^C(n)$: "equation of state"

$$P^Q = \frac{\hbar^2}{2m} \left[\left(\frac{\partial}{\partial x} \sqrt{n} \right)^2 - \sqrt{n} \frac{\partial^2}{\partial x^2} \sqrt{n} \right]$$

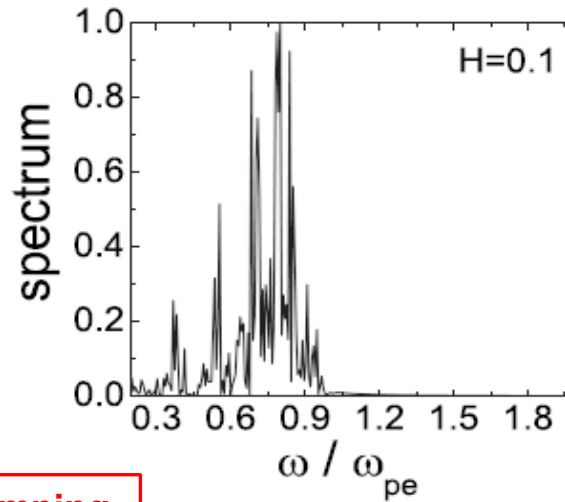
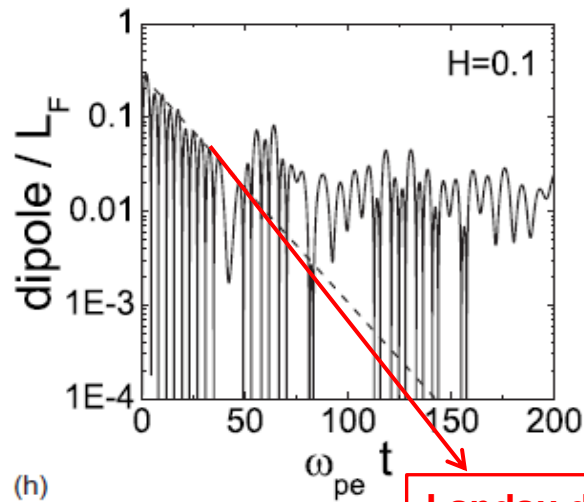
+ Poisson equation

QHD for thin metal films: ground state

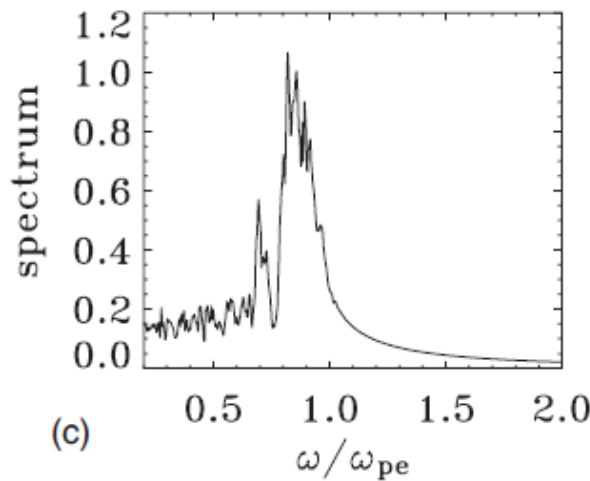
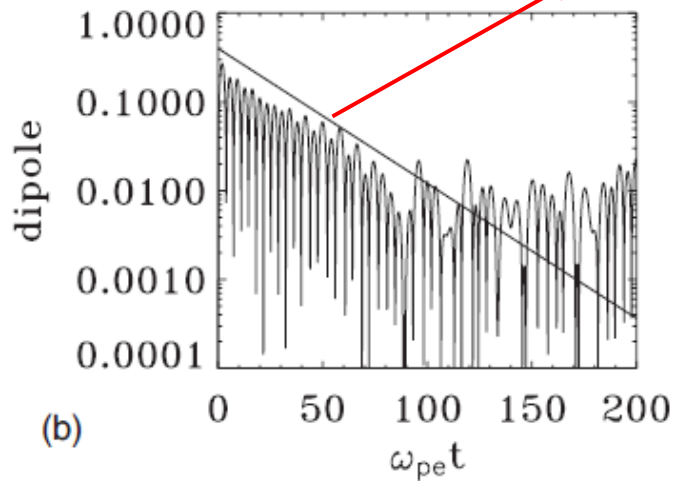


N. Crouseilles, P.-A. Hervieux, G. Manfredi, Phys. Rev. B **78**, 155412 (2008).

QHD for thin metal films: dipole motion



**Quantum Hydro-
dynamics**

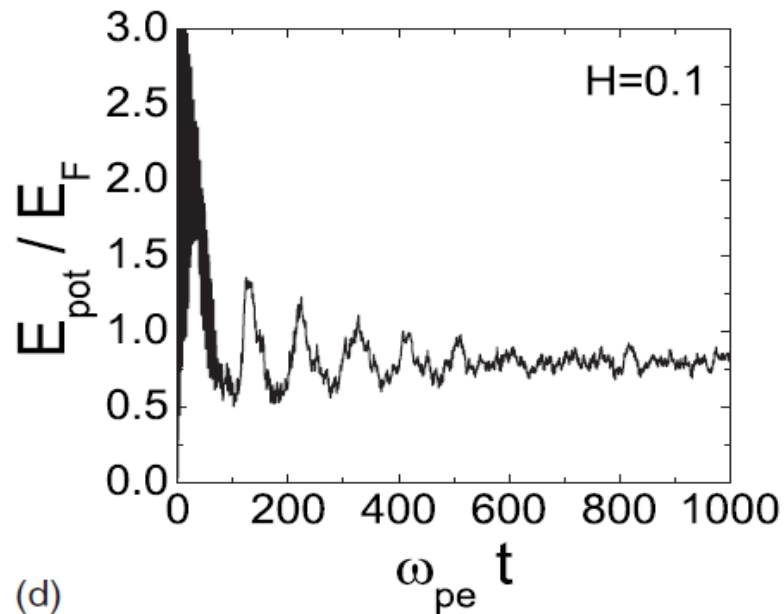


**Semiclassical
(Vlasov)**

N. Crouseilles, P.-A. Hervieux, G. Manfredi, Phys. Rev. B **78**, 155412 (2008).

QHD for thin metal films: nonlinear oscillations

QHD



Vlasov (semiclassical)

